

Rajasthan Water Sector Restructuring Project – Additional Financing and On-going Project (P120652 [Credit # 4709 –IN] and P040610 [Credit # 3603-IN])

I. General

1. **Project information:**

Project Name: Rajasthan Water Sector Restructuring Project
 Country: India
 Project Code: P120652 and P040610
 Credit #: 4709 –IN and 3603-IN

2. **Bank's approval Date of the procurement Plan:** December 12, 2011
3. **Date of General Procurement Notice:** UNDB Issue # 771 of March 31, 2010
4. **Period covered by this procurement plan:** 3 years (2010-13)
5. **Risk Rating for the Implementing Agency:** Substantial
6. **Current Procurement Risk Rating for the Project:** Substantial

II. Procurement of Goods and Works:

1 a) **Procurement Methods and Thresholds:**

Method of Procurement	Threshold (US\$ Equivalent) for Goods	Threshold (US\$ Equivalent) for Works
International Competitive Bidding	> 200,000	> 10 million
National Competitive Bidding (NCB)	>30,000 and less than 200,000	>30,000
Shopping	Up to 30,000	Not Applicable
Direct Contracting (DC)	As per paragraph 3.6 of Guidelines	Not Applicable
Community Participation in Procurement *	Up to 30,000	Up to 30,000

***Community Participation in Procurement shall follow Guidance Note for Management of Procurement Responsibilities in Community-Driven Development Projects issued by the World Bank in January 2010.**

1 b) **Prior Review Threshold**

All goods contracts more than US\$100,000 , all goods contracts following Direct Contracting and works contracts more than US\$ 200,000 equivalent shall be prior reviewed.

For prior review contracts, the amendments for increasing the cost or extension of time beyond 15% of original value shall also be subject to Bank's prior review. Such amendments shall be submitted to the IDA for prior review before the variation order is issued by which contract value will exceed by 15 % or time extension is granted.

All other contracts will be post reviewed.

2. Pre-qualification – Not applicable

3. Procurement Manual: The PIP has chapter on Procurement. All the contracts issued under the project will follow the World Bank's Guidelines: Procurement under IBRD Loans and IDA Credits" dated May 2004 and revised in October, 2006. In case of any inconsistency between the PIP or Rajasthan Government Procurement Procedures and the Bank Guidelines, the latter shall prevail.

4. Any Other Special Procurement Arrangements: The bid evaluation will be carried out as per agreed timeline in the PIP.

NCB will be conducted in accordance with paragraph 3.3 and 3.4 of the Guidelines and the following provisions:

- Only the model bidding documents for NCB agreed with the GOI Task Force (and as amended for time to time), shall be used for bidding;
- Invitations to bid shall be advertised in at least one widely circulated national daily newspaper, at least 30 days prior to the deadline for the submission of bids;
- No special preference will be accorded to any bidder either for price or for other terms and conditions when competing with foreign bidders, state-owned enterprises, small-scale enterprises or enterprises from any given State;
- Except with the prior concurrence of the Bank, there shall be no negotiation of price with the bidders, even with the lowest evaluated bidder;
- Extension of bid validity shall not be allowed without the prior concurrence of the Bank (i) for the first request for extension if it is longer than four weeks; and (ii) for all subsequent requests for extension irrespective of the period (such concurrence will be considered by Bank only in cases of Force Majeure and circumstances beyond the control of the Purchaser/ Employer);
- Re-bidding shall not be carried out without the prior concurrence of the Bank. The system of rejecting bids outside a pre-determined margin or "bracket" of prices shall not be used in the project;
- Rate contracts entered into by Directorate General of Supplies and Disposals will not be acceptable as a substitute for NCB procedures. Such contracts will be acceptable however for any procurement under the Shopping procedures;

- Two or three envelope system will not be used.
- Any discount received from the bidders after bid submission deadline will not be considered either during the bid evaluation or the contract award.
- Foreign bidders shall not be precluded from bidding.

5. Procurement Packages with Methods and Time Schedule – Available in separate Excel file.

6. Domestic Preference: The provisions of paragraphs 2.55 and 2.56 of the Procurement Guidelines, providing for domestic preference in the evaluation of bids, shall apply to the goods manufactured in the territory of India or and the works to be carried out by the domestic contractors through International Competitive Bidding.

III. Selection of Consultants

1 a) Selection Methods and Thresholds

Method of Procurement	Threshold (US\$ Equivalent)
(a) Quality Based Selection	No threshold
(b) Selection Made Under a Fixed Budget	No threshold
(c) Least Cost Selection	No threshold
(d) Selection Based on Consultant's Qualifications	< 100,000
(e) Single Source Selection	As per Consultant Guidelines Para 3.9
(f) Selection of Individual Consultants	< 100,000

1 b) Prior Review Threshold

Consultancy Services: > US\$ 100,000 equivalent for firms; and
 > US\$ 50,000 equivalent for individuals

In addition, all consultancy contracts to be issued on single-source basis firms shall be subject to prior review. In case of contract to individuals, the qualifications, experience, terms of reference and terms of employment shall be subject to prior review. For prior review contracts, the amendments for increasing the cost or extension of time beyond 15% of original value shall also be subject to Bank's prior review. Such amendments shall be submitted to the IDA for prior review before the variation order is issued by which contract value will exceed by 15 % or time extension is granted.

All other contracts will be post reviewed.

2. Short list comprising entirely of national consultants: Short list of consultants for services, estimated to cost less than \$ 100,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.

3. **Any Other Special Selection Arrangements:** The finalization of consulting services shall follow the timeline agreed in the PIP.
4. **Procurement Manual:** The PIP has chapter on Procurement. All the contracts issued under the project will follow the “Guidelines: Selection and Employment of Consultants by World Bank Borrowers” dated May 2004 and Revised in October 2006. In case of any inconsistency between the PIP or Rajasthan Government Procurement Procedures and the Bank Guidelines, the latter shall prevail.
5. **Consultancy Assignments with Selection Methods and Time Schedule -** Available as separate Excel file.

IV. Implementing Agency Capacity Building Activities with Time Schedule

Action	To be completed by	Responsibility
Procurement Training for WRD Officers and Bidders by hiring ASCI following SSS	May 2010 revised as July 15, 2010	PMU

Project Name: Rajasthan Water Sector Restructuring Project (RWSRP)
Government of Rajasthan

December 9, 2011

USD

Rs. 45

Procurement Plan #	Description	Estimated Cost in INR [millions]	Estimated Cost US\$ [millions]	Procurement Method	Prequalification Yes/No	Domestic Preference	Review by the Bank	Expected Bid Opening Date	Comments	Sources of Financing AF – Additional Financing OP –Ongoing Project
1	2	3	4	5	6	7	8	9	10	11
Goods (G)										
G.WUO.01	Furniture for WUO Office in several packages for 650 WUOs	11.7	0.26	Shopping	No	No	Post	NA		OG
G.WUO.02	Printing of Training Manual	1	0.02	Shopping	No	No	Post	NA		OG
G.WUO.03	IT Equipment [Computer, Printer, Software and UPS] for 650 WUOs [in three packages]	26	0.58	Shopping through DGS&D	No	Yes	Post		IA is WRD through various Executive Engineers as the single package not exceeding the limit of local shopping i.e. US\$ 3000	OP
G.GW.01	IT Equipment [Computer, Printer, and Software] for 106 Units GWMA, GPLC and GWD	4.22	0.11	NCB	No	No	Prior		IA is GWD	OP
	IT Equipment [Laser Printer 73Nos. and UPS – 106 Nos.] for GWMA, GPLC and GWD	0.68		Shopping through DGS&D	No	No	Post		IA is GWD	OP
G.WRD.02	Procurement of IT Equipment and office software for Offices of WRD	5	0.11	Shopping through DGS&D	No	No	Post		IA is WRD through various Executive Engineers as the single package not exceeding the limit of local shopping i.e. US\$ 30000	OP
G.WRD.03	Procurement of IT Equipment and office software for State Hydrology Data Center	6.55	0.15	NCB	No	No	Prior	1-Aug-11	IA is SWRPD	AF

G.WRD.01	Procurement of Office Equipment for State Hydrology Data Center in several packages	0.75	0.02	Shopping	No	No	Post	NA		AF
Procurement Plan #	Description	Estimated Cost in INR [millions]	Estimated Cost US\$ [millions]	Procurement Method	Prequalification Yes/No	Domestic Preference	Review by the Bank	Expected Bid Opening Date	Comments	Sources of Financing AF – Additional Financing OP –Ongoing Project
1	2	3	4	5	6	7	8	9	10	11
G.GW.02	Furniture for GPLC and GDMA 73 Units in several packages	1.314	0.03	Shopping	No	No	Post	NA		OP
G.GW.03*	Remote sensing and satellite imageries for 3 pilots	4.5	0.10	DC	No	No	Prior		NRSA	OG
G.GW.04	Multi Imaging signal stacking resistivity metre	1.5	0.03	NCB	No	No	Post		Dropped	OP
G.GW.05	Procurement & install. of rain gauges 15 units	0.525	0.01	Dropped					Dropped	
G.ASS.01*	Procurement of farm inputs	12.6	0.28	DC	No	NO	Post	NA	6300 acres @ INR 2,000 per acres	OP
G.WRD.04	Procurement of Supply and Installation of Hydrological Information System	185.0	4.80	ICB	No	Yes	Prior	Dec-11		AF
G.WRD.05	Procurement of Supply and Installation of Supervisory Control and Data Acquisition (SCADA) system for Gang and Bhakra Canal System	500.00	10.00	ICB	No	Yes	Prior	22-Nov-2011		AF
G.WRD06	Procurement of scanners for WRD offices	0.85	0.019	Shopping through DGS&D	No	No	Post	March 2012		OP
G.GW.06	Procurement & installation of Water Meters on Tubewells/wells in 3 pilots areas of Ground Water	500	11	ICB	No	No	Prior	Feb 2012	IA is GWD	OP

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1	2	3	4	5	6	7	8	9	10	11
Works (W)										
W.WUO.01	Construction WUO Office Buildings [350 Units] in several packages	46.2	1.03	Community Contracting/ Shopping	No	No	Post	NA		OP
BK-13	Jorkian Distributary Package -1	50.1	1.11	NCB	No	No	Prior	8-Jun-10	Awarded	AF
BK-14	Jorkian Distributary Package -2	45.2	1.00	NCB	No	No	Prior	8-Jun-10	Awarded	AF
BK - 15	Haripura Suratpura Deengarg Bhakarwali Distributray	46.2	1.03	NCB	No	No	Prior	8-Jun-10	Awarded	AF
BK - 16	Pratappura Disty. (Package -1)	101.1	2.25	NCB	No	No	Prior	8-Jun-10	Awarded	AF
BK - 17	Pratappura Disty. (Package -2)	73.4	1.63	NCB	No	No	Prior	8-Jun10	Awarded	AF
BK - 18	Shyam Singhwala Disty.	25.2	0.56	NCB	No	No	Prior	8-Jun-10	Awarded	AF
BK-19	Amarpura Disty. & Nokera Minor (Package -1)	77.5	1.72	NCB	No	No	Prior	8-Jun-10	Awarded	AF
BK - 20	Amarpura Disty. & Nokera Minor (Package -2)	77	1.71	NCB	No	No	Prior	8-Jun-10	Awarded	AF
BK - 21	Bhagatpura Sanaria Disty.	71.5	1.59	NCB	No	No	Prior	8-Jun10	Awarded	AF

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1	2	3	4	5	6	7	8	9	10	11
PAR.01	Rehabilitation of Parwan Canal	118	2.62	NCB	No	No	Prior	22-Apr-10	Awarded	OP
BKL.01	Rehabilitation of Bankli Canal	64	1.42	NCB	No	No	Prior	5-May-10	Awarded	OP
J -5	Rehabilitation of Sandeorao Disty.	57	1.27	NCB	No	No	Prior	5-May-10	Awarded	OP
BAR.01	Rehabilitation of Baretha Canal	8.8	0.19	NCB	No	No	Post		To be awarded in November 11	OG
US.01	Rehabilitation of Ummed Sagar Canal	7.17	0.16	NCB	No	No	Post		Awarded on Feb 11, 2010	OP
R - 1	Rehabilitation of Parbati Dam	39.8	0.88	NCB	No	No	Prior	22 Feb 11	Dropped	OP
OR - 1	Rehabilitation of Orai Dam	149.4	3.32	NCB	No	No	Prior	4-Apr-10	Awarded	OP
GUDS - 1	Rehabilitation of Gudha Dam	360.88	8.02	NCB	No	No	Prior	Bid Received on 10.10.11		OP
JU -1	Rehabilitation of Jugger Dam	2	0.04	NCB	No	No	Post	28 Jun 10	Awarded	OP
W.GW.01	Construction GPLC/GWMA Office Buildings [73 Units] in several packages	12.96	0.26	Community Contracting	No	No	Post	NA	By WRD	OP
W.ASS.01	Construction of Diggi cum Drip Irrigation 125 Demonstrations	350	7.78	Community Contracting	No	No	Post	NA		OP

Procurement Plan #	Description	Estimated Cost in INR [millions]	Estimated Cost US\$ [millions]	Procurement Method	Prequalification Yes/No	Domestic Preference	Review by the Bank	Expected Bid Opening Date	Comments	Sources of Financing AF – Additional Financing OP – Ongoing Project
1	2	3	4	5	6	7	8	9	10	11
GC-5A	Balance work of H-Distributory of Gang Canal System	129.60	2.88	NCB	No	No	Prior	15 Feb 11		OP
GC-8	Rehabilitation of FFA Distributory of Gang Canal System	0.7	0.01	NCB	No	No	Post	November 11	NIT floated	OP
BK-22	Balance work of clubbing of work of 4 Packege BK-2, BK-4, BK-5, BK-12 of Bhakra canal system	30	0.67	NCB	No	No	Prior	November 11	NIT floated	OP
BK-11A	Rehabilitation of Balance work of Shyam singh Wala distributory	36.63	0.81	NCB	No	No	Prior	21 Sep10	Awarded	OP
AI-1	Balance work of Rehabilitation of Alnia Irrigation Project, Kota	8.8	0.19	NCB	No	No	Post	November 11	NIT floated	OP
GG-1	Rehabilitation of gates of Gang Canal system	5	0.11	NCB	No	No	Post	30 Apr-11	Dropped	OP
BG-1	Rehabilitation of gates of Bhakra Canal system	5	0.11	NCB	No	No	Post	30 Apr-11	Dropped	OP

Consulting Services (s) [Firms]

Procurement Plan #	Description of Assignment	Estimated Cost in INR [millions]	Estimated Cost US\$ [millions]	Selection Method	Review By Bank	Comments	Sources of Financing AF – Additional Financing OP –Ongoing Project
1	2	3	4	5	6	7	8
ASS.1	M&E Consultancy Extension of Ongoing Contract - ASS component	3	0.07	QCBS	Post		OP
WRD.01	Procurement Training for WRD Officers and Bidders	3	0.07	SSS	Prior	Dropped	OP
WRD.02	M&E Consultancy for WRD	4.5	0.09	QCBS	Prior		OP
WRD.03	Water Sector Studies - Several Packages	50	1.11	QCBS	Prior	To be identified during implementation	AF
WRD.04	Internal Audit	3	0.07	SSS	Prior	Extension of IPAI Contract	OP
GW 01	Consultancy Services for designing customized software	4.5	0.09	QCBS	Post		OP
WRD.05	Consultancy Services for hiring of firm for implementation of Hydrological information System and SCADA	50	1.11	QCBS	Prior	Dropped	AF
GW 02	Hiring of NGO for encouragement of community participation in 3 pilots	15	0.3	QCBS	Post		OP

Individual Consultants

PP Number	Position Name	Average Monthly Remuneration in INR	Estimated period in Months	Estimated Cost in INR	Estimated Cost in US\$	Selection Method	Review by Bank (Prior or Post)	Comments	Sources of Financing
1	2	3	4	5	6	7	8	9	10
IC.WRD.01	HIS Expert	166,667	12	Dropped				Dropped	AF
IC.WRD.02	SCADA Expert	166,667	12	Dropped				Dropped	AF
IC.GW.1	IC for GWD for preparation of reports, manual and documents	120,000	30	3,600,000	80,000	IC	Post	Dropped	OP
IC.GW.2	IC for Community Facilitation in three pilot areas [14 Consultants]	34,000	196	10,080,000	224,000	IC	Post	Each Consultant is US\$ 16,000	OP
IC.WUO.01	IC for Manual for WUO Training	LS	LS	500,000	11,111	IC	Post	Dropped	OP
IC.WRD.03	Procurement Consultant	50,000	12	600,000	13,333	IC	Prior	Dropped	OP
IC.GW.04	Consultancy Services for Technical assistance to GWD	150,000	9	1,350,000	30,000	IC	Post	Dropped	OP

